Federal Reserve Bank
Financial Disclosure Report (Form A)

Board of Governors
of the Federal Reserve System
Washington, DC 20551

FR 08-100-03

Federal Reserve Bank policy requires the reporting and publishing of this information, which will be reviewed to determine compliance with applicable Federal Reserve policies and federal laws.

Click Detailed Instructions for additional reporting information.

Report Type: Annual
Year (Annual Report only): 2024
Date of Appointment/Separation:

FILER'S INFORMATION

Last Name	First Name	MI	Position	Reserve Bank
Harker	Patrick	T	President and CEO	Philadelphia

I certify the statements I have made in this report are true, complete and correct to the best of my knowledge.

[redacted]
Filer Signature

5/16/2024
Date (MM/DD/YYYY)

RESERVE BANK ETHICS OFFICER'S OPINION

On the basis of information contained in this report and in consultation with the Board's Designated Agency Ethics Official, I conclude that the filer is in compliance with applicable ethics laws and policies (subject to any comments below).

[redacted]
Reviewing Official Name

[redacted]
Reviewing Official Signature

05/16/2024
Date (MM/DD/YYYY)

BOARD DESIGNATED AGENCY ETHICS OFFICIAL'S OPINION

On the basis of information contained in this report and in consultation with the Reserve Bank's Ethics Officer, I conclude that the filer is in compliance with applicable ethics laws and policies (subject to any comments below).

[redacted]
Board Designated Agency Ethics Official Name

[redacted]
Board Designated Agency Ethics Official Signature

05/16/2024
Date (MM/DD/YYYY)

COMMENTS OF REVIEWING OFFICIALS

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PART 1. POSITIONS HELD OUTSIDE THE FEDERAL RESERVE SYSTEM

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report any positions that you held at any time during the reporting period (excluding positions within the Federal Reserve System). Reportable positions include those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any for-profit or non-profit organization (whether compensated or uncompensated).

Also report any position held by your spouse or domestic partner, child, parent or sibling with a domestic or foreign depository institution, its holding company (financial, bank or savings and loan) or a non bank affiliate; a company that owns a bank or savings and loan, a systemically important financial institution, a financial market utility, or primary government securities dealer; or any entity which, to your knowledge, does or seeks to do business with the Bank.

Select "**None**" if you do not have anything to report. Click Part 1 Detailed Instructions for additional reporting information and exceptions.

Filer's Name: Patrick Harker

☐ **None** *(Nothing to report)*

#	Organization Name	City/State	Organization Type	Position Held	Position Holder	From (MM/YYYY)	To (MM/YYYY)
1	Chamber of Commerce of Greater Philadelphia	Philadelphia, PA	Nonprofit	Director	Filer	01/2018	present
2	University City Science Center	Philadelphia, PA	Nonprofit	Director	Filer	01/2019	present
3	St. Joseph's Carpenter Society	Camden, NJ	Nonprofit	Director	Filer	04/2022	present
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PART 2. FILER'S & SPOUSE'S OR DOMESTIC PARTNER'S EMPLOYMENT ASSETS & INCOME AND RETIREMENT ACCOUNTS
(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report each source of your earned and other non-investment income over $200 during the reporting period (e.g., salary, fees, partnership share and other business income, honoraria, scholarships, and prizes). For your spouse or domestic partner, report the source, exact value, and date of honoraria exceeding $200, and report the source, but not the amount, of other earned or non-investment income exceeding $1,000.

Report each asset related to your or your spouse's or domestic partner's business, employment, or other income-generating activities if the value of the asset at the end of the reporting period exceeded $1,000 or if more than $200 in income was received during the reporting period (e.g., equity in a business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, anticipated payments such as severance payments, deferred compensation, and intellectual property, such as book deals and patents).

Select "**None**" if you do not have anything to report. Click Part 2 Detailed Instructions for additional reporting information and exceptions.

Filer's Name: Patrick Harker

☐ **None** *(Nothing to report)*

#	Description	Owner	Excepted Investment Fund (EIF)	Value	Income Type	Income Amount
1	Camden County College	Spouse / Domestic Partner	No		Salary	
2						
3						
4						
5						
6						
7						
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PART 3. OTHER ASSETS AND INCOME

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report each asset held for investment or the production of income, not already reported in Part 2, that ended the reporting period with a value greater than $1,000 or from which more than $200 in income was received during the reporting period.

Select "**None**" if you do not have anything to report. Click Part 3 Detailed Instructions for additional reporting information and exceptions.

Filer's Name: Patrick Harker

☐ **None** *(Nothing to report)*

#	Description	Owner	Excepted Investment Fund (EIF)	Value	Income Type	Income Amount
1	BNY Mellon Short-Intermediate Municipal Bond Fund Class I	Jointly Owned	Yes	$250,001 - $500,000		$2,501 - $5,000
2	Doubleline Low Duration Bond Fund	Jointly Owned	Yes	$15,001 - $50,000		$1,001 - $2,500
3	Federated Hermes Strategic Value Dividend Fund Institutional Shares	Jointly Owned	Yes	$250,001 - $500,000		$15,001 - $50,000
4	Huntsman Corporation Stock	Jointly Owned	No	$50,001 - $100,000	Dividends	$2,501 - $5,000
5	Invesco Rochester Municipal Opportunities Fund Class Y	Jointly Owned	Yes	$100,001 - $250,000		$5,001 - $15,000
6	ISHARES Core MSCI Emerging Market ETF	Jointly Owned	Yes	$100,001 - $250,000		$2,501 - $5,000
7	ISHARE Core Global Clean Energy ETF	Jointly Owned	Yes	$100,001 - $250,000		$1,001 - $2,500
8	Lord Abbett Short Duration Income Fund Class I	Jointly Owned	Yes	$15,001 - $50,000		$1,001 - $2,500
9	PACE Global Real Estate Securities Investments Class P	Jointly Owned	Yes	$15,001 - $50,000		$201 - $1,000
10	PACE High Yield Investments Class P	Jointly Owned	Yes	$100,001 - $250,000		$5,001 - $15,000
11	PACE International Equity Investments Class P	Jointly Owned	Yes	$250,001 - $500,000		$15,001 - $50,000
12	PACE International Emerging Markets Equity Investments Class P	Jointly Owned	Yes	$50,001 - $100,000		$1,001 - $2,500
13	PACE Global Fixed Income Investments Class P	Jointly Owned	Yes	$100,001 - $250,000		$5,001 - $15,000
14	PACE Large Co Value Equity Investments Class P	Jointly Owned	Yes	$250,001 - $500,000		$5,001 - $15,000
15	PACE Municipal Fixed Income Investments Class P	Jointly Owned	Yes	$500,001 - $1,000,000		$15,001 - $50,000

16	PACE Small/Medium Co Value Equity Investments Class P	Jointly Owned	Yes	$100,001 - $250,000		$201 - $1,000
17	Short Term Bond Fund of America Fund Class F2	Jointly Owned	Yes	$15,001 - $50,000		$201 - $1,000
18	SPDR S&P 500 ETF TR	Jointly Owned	Yes	$15,001 - $50,000		$201 - $1,000
19	Thornburg Limited Term Municipal Fund Class I	Jointly Owned	Yes	$250,001 - $500,000		$5,001 - $15,000
20	U.S. brokerage account (cash)	Jointly Owned	No	$50,001 - $100,000		None (or less than $201)
21	UBS Select Prime Institutional Fund	Jointly Owned	Yes	$100,001 - $250,000		$5,001 - $15,000
22	UBS Select Prime Investor Fund	Jointly Owned	Yes	None (or less than $1,001)		$5,001 - $15,000
23	UBS Select Prime Preferred Fund	Jointly Owned	Yes	$500,001 - $1,000,000		$15,001 - $50,000
24	Vanguard Short Term Investment Grade Fund Admiral	Jointly Owned	Yes	$15,001 - $50,000		$201 - $1,000
25	Vanguard Target Retirement 2025 Trust II	Jointly Owned	Yes	$50,001 - $100,000		None (or less than $201)
26	Vanguard Value ETF	Jointly Owned	Yes	$100,001 - $250,000		$2,501 - $5,000
27	Decision Lens (strategic planning software)	Jointly Owned	No	$1,001 - $15,000	Dividends	None (or less than $201)
28	University of the Brilliant (for-profit educational institution)	Jointly Owned	No	None (or less than $1,001)	Dividends	None (or less than $201)
29	Lincoln National Life Insurance Co CP Assurance Series L: annuity return based on	Jointly Owned	Yes	$500,001 - $1,000,000		None (or less than $201)
30	LVIP Global Allocation Managed Risk Fund					
31	PACE Large Co Growth Equity Investments	Jointly Owned	Yes	$250,001 - $500,000	Dividends	$5,001 - $15,000

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PART 4. FILER'S EMPLOYMENT AGREEMENTS AND ARRANGEMENTS

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report any agreements or arrangements that you had during the reporting period for the following: (1) continuing participation in an employee welfare or benefit plan maintained by a former employer; (2) leave of absence; (3) future employment; or (4) continuation of payments by a former employer (e.g., severance payments).

Select "**None**" if you do not have anything to report. Click Part 4 Detailed Instructions for additional reporting information and exceptions.

Filer's Name: Patrick Harker

☒ **None** *(Nothing to report)*

#	Employer or Party	City/State	Status and Terms	Start Date (MM/YYYY)
1	None			
2				
3				
4				
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PART 5. TRANSACTIONS
(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report any purchase, sale, or exchange of real property or securities in excess of $1,000 that was made by you, your spouse or domestic partner, or your dependent child during the reporting period. This Part is not required for New Filer reports.

Select "**None**" if you do not have anything to report. Click Part 5 Detailed Instructions for additional reporting information and exceptions.

Filer's Name: Patrick Harker

☐ **None** *(Nothing to report)*

#	Description	Owner	Type	Date (MM/DD/YYYY)	Amount
1	Federated Hermes Strategic Value Dividend Fund Institutional Shares (automatic dividend reinvestments; only transactions exceeding $1001 reported)	Jointly Owned	Purchase		$1,001 - $15,000
2	ISHARES Core MSCI Emerging Markets ETF (automatic dividend reinvestments; only transactions exceeding $1001 reported)	Jointly Owned	Purchase		$1,001 - $15,000
3	ISHARES Global Clean Energy ETF (automatic dividend reinvestments; only transactions exceeding $1001 reported. These have since been turned off.)	Jointly Owned	Purchase		$1,001 - $15,000
4	PACE International Equity Investments Class P (automatic dividend reinvestments; only transactions exceeding $1001 reported)	Jointly Owned	Purchase		$15,001 - $50,000
5	PACE Large Growth Equity Investments Class P (automatic dividend reinvestments; only transactions exceeding $1001 reported)	Jointly Owned	Purchase		$1,001 - $15,000
6	PACE Large Co Value Equity Investments Class P (automatic dividend reinvestments; only transactions exceeding $1001 reported)	Jointly Owned	Purchase		$15,001 - $50,000
7	PACE Municipal Fixed Income Investments Class P (automatic dividend reinvestments; only transactions exceeding $1001 reported)	Jointly Owned	Purchase		$15,001 - $50,000
8	PACE Municipal Fixed Income Investments Class P (automatic sale to pay quarterly investments fees)	Jointly Owned	Sale	01/26/2023	$1,001 - $15,000
9	PACE Municipal Fixed Income Investments Class P (automatic sale to pay quarterly investments fees)	Jointly Owned	Sale	04/25/2023	$1,001 - $15,000
10	PACE Municipal Fixed Income Investments Class P (automatic sale to pay quarterly investments fees)	Jointly Owned	Sale	07/26/2023	$1,001 - $15,000
11	PACE Municipal Fixed Income Investments Class P (automatic sale to pay quarterly investments fees)	Jointly Owned	Sale	10/26/2023	$1,001 - $15,000
12	Vanguard Target Retirement 2025 Fund (automatic exchange of funds)	Jointly Owned	Exchange	07/03/2023	$100,001 - $250,000
13	Vanguard Target Retirement 2025 Trust II (automatic exchange of funds)	Jointly Owned	Exchange	0703/2023	$100,001 - $250,000
14	Vanguard Target Retirement 2025 Trust II (automatic sale as part of a 10 year divestment plan)	Jointly Owned	Sale	08/01/2023	$50,001 - $100,000
15	Vanguard Value ETF (automatic dividend reinvestments; only transaction exceeding $1001 reported)	Jointly Owned	Purchase		$1,001 - $15,000

PART 6. LIABILITIES

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report liabilities over $10,000 that you, your spouse or domestic partner, or your dependent child owed at any time during the reporting period. Select "**None**" if you do not have anything to report. Click Part 6 Detailed Instructions for additional reporting information and exceptions.

Filer's Name: Patrick Harker

☒ **None** *(Nothing to report)*

#	Creditor Name	Debtor	Type	Amount	Year Incurred	Interest Rate	Term	More Favorable Terms?
1	None							
2								
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PART 7. GIFTS

(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Report gifts totaling more than $480 that you, your spouse or domestic partner, and your dependent children received from any one source during the reporting period. If more than one gift was received from a single source: (1) Determine the value of each item received from that source; (2) exclude each item valued at $192 or less and (3) add the value of those items valued at more than $192. If the total is more than $480 then you must report each item valued at more than $192. This Part is not required for New Filer reports.

Select "**None**" if you do not have anything to report. Click Part 7 Detailed Instructions for additional reporting information and exceptions.

Filer's Name: Patrick Harker

☒ **None** *(Nothing to report)*

#	Source Name	City/State	Brief Description	Value
1	None			
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Federal Reserve Bank Financial Disclosure Report (Form A)

PART 8. OTHER SITUATIONS
(Note: This is a public form; do not include account numbers, street addresses, or family member names.)

Reporting Requirement: Describe any other relationship or circumstance that you believe might constitute an actual or apparent conflict of interest. For example, if your father-in-law is the president of a company with which the Bank does business, you should report that in this section.

Select **"None"** if you do not have anything to report. Click Part 8 Detailed Instructions for additional reporting information.

Filer's Name: Patrick Harker

☒ **None** *(Nothing to report)*

Other Situtations
None